



Relevant Legal References

SEC Requirements

- New SEC Rule: <https://www.sec.gov/files/rules/final/2023/33-11216.pdf>
(See especially 17 CFR 229.106)
- Official Fact Sheet: <https://www.sec.gov/files/33-11216-fact-sheet.pdf>
- Press Release: <https://www.sec.gov/news/press-release/2023-139>
- [Form 8-k](#) (see item 1.05); [Form 10-K](#) (sec.gov) (item 1C).

Legal Standards

- Center for Internet Security's [20 Critical Security Controls](#)
 - Calif. Attorney General: "The 20 controls in the Center for Internet Security's Critical Security Controls identify a minimum level of information security that all organizations that collect or maintain personal information should meet. The failure to implement all the Controls that apply to an organization's environment constitutes a lack of reasonable security."
- National Institute of Standards and Technology's ("NIST") Cybersecurity Framework;
- NIST Special Publication 800-171;
- NIST Special Publications 800-53 and 800-53a;
- The Federal Risk and Authorization Management Program (FedRAMP) Security Assessment Framework;
- The Center for Internet Security Critical Security Controls for Effective Cyber Defense; or
- The International Organization for Standardization/International Electrotechnical Commission 27000 Family—Information Security Management Systems.
- Ohio Safe Harbor (O.R.C. s 1354.03)